

BOX PATENT APPLICATION

Attorney Docket No.: 23533-X

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Martin GANZ

Serial No. Not yet assigned

Filed: February 28, 2002

Title: CLOSING UNIT FOR AN INJECTION MOULDING MACHINE

(Continuation-in-part of U.S. Patent Application No. 09/043,931, filed April 21, 2000, which is an Application under 35 U.S.C. 371 of International Application PCT/CH96/00344 with an International filed dated October 2, 1996.)

TRANSMITTAL LETTER

The Commissioner for Patents
Washington, D.C. 20231

Sir:

Submitted herewith for filing in the U.S. Patent and Trademark Office is the following:

- (1) Transmittal Letter
- (2) Filing Form For Continuation-in-part U.S. Patent Application
- (3) Continuation-in-part application including:
 - 12 Pages Application Consisting of:
 - 7 Pages of Textual Specification
 - 2 Pages of 11 Claims
 - 1 page containing the Abstract of the Disclosure
 - 2 sheets of drawings
- (4) English Translation of continuation-in-part application including:
 - 9 Pages Application Consisting of:
 - 6 Pages of Textual Specification
 - 2 Pages of 11 Claims
 - 1 Page containing the Abstract of the Disclosure
- (5) Copy of Preliminary Amendment filed with Parent Application on March 30, 1998 with stamped filing receipt indicating receipt of same
- (6) Preliminary Amendment
- (7) Unexecuted Declaration
- (8) Check No. 16294 \$370.00 for filing fee
- (9) Postcard for early notification of serial number.

Respectfully submitted,
NATH & ASSOCIATES PLLC

By:

Gary M. Nath
Gary M. Nath
Registration No. 26,965
Customer No. 20529

Date: February 28, 2002
NATH & ASSOCIATES PLLC
1030 15th Street, NW - 6th Floor
Washington, D.C. 20005
GMN/lis:CIPappl.trans

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Martin GANZ

Serial No.: Not yet assigned

Filing Date: February 28, 2002

Title: **CLOSING UNIT FOR AN INJECTION MOULDING MACHINE**

FILING FORM

FOR CONTINUATION-IN-PART U.S. PATENT APPLICATION

Commissioner of Patents
Washington, D.C. 20231

Sir:

1. This is a Request for filing a
- ☐ Continuation Application under:
 - ☐ 37 CFR § 1.53(b)(1)
 - ☐ Divisional Application under:
 - ☐ 37 CFR § 1.53(b)(1)
 - ☒ Continuation-In-Part (CIP) application
 - ☒ 37 CFR § 1.53(b)(2)

of **prior** ("parent") application:

Serial No. 09/043,931

Filed: April 21, 2000

Entitled: **CLOSING UNIT FOR AN INJECTION MOULDING MACHINE** (which is an Application under 35 U.S.C. 371 of International Application PCT/CH96/00344 with an International filed dated October 2, 1996.)

2. ☒ *Enclosed for application under 37 CFR §§ 1.53(b) are:
- 10 pages of text (specification, claims, abstract)
 - 11 claims
 - 2 sheets of drawings

3. The **present** application names the following inventor(s):

Martin GANZ

(37 CFR § 1.53--"CIP") The above-identified application (in which no payment of the Issue Fee, abandonment of or termination of proceedings has occurred) is hereby expressly abandoned as of the filing date of this new application.

The **Total Filing Fee** is calculated as follows:

XX Enter the Amendment filed in the prior patent application on March 30, 1998 prior to calculating the filing fee.

XX Enter the enclosed Preliminary Amendment prior to calculating the filing fee.

(Col 1)		(Col 2)		SMALL ENTITY		NON-SMALL ENTITY		
	NUMBER OF CLAIMS FILED		NUMBER OF EXTRA CLAIMS	RATE	FEE	OR	RATE	FEE
TOTAL	8	minus 20	0	x9=	0		x18=	0
INDEP	1	minus 3	0	x42=	0		x84=	0
BASE FILING FEE:				+370=	370		+740=	0
<u>xx</u> Multiple Dependent Claims				+140=	0		+280=	0
				TOTAL	370	OR	TOTAL	0

X Applicant(s) is/are a "small entity". A Verified Statement Claiming Small Entity Status:

X was filed in the parent application, and small entity status is still proper and desired (Rules 60, 62 only);
 _____ is filed herewith;
 _____ will be filed at a later date.

X A check including the above-indicated filing fee is enclosed.

_____ A Petition for Extension of Time (to keep the parent case pending) is enclosed, with a check including the \$ extension fee.

___ Assignment document(s) is/are submitted herewith, with a check including the recordation fee of \$40.00 per Assignment.

___ No check is enclosed. A Declaration and Power of Attorney will be submitted at a later date pursuant to 37 CFR §§ 1.41, 1.53, together with a check for the "Total Filing Fee" calculated above and a surcharge under 37 CFR § 1.16(e).

___ The prior application is assigned of record to ____, the Assignment being recorded on ____, on Reel ____, Frame(s) ____.

___ An original executed Declaration is enclosed.

X Amend the specification by inserting before the first line the sentence:

--This application is a continuation-in-part application of U.S. patent application Serial No. 09/043,931, filed April 21, 2000, which is an Application under 35 U.S.C. 371 of International Application PCT/CH96/00344 with an International filed dated October 2, 1996, the entire contents of which are hereby incorporated by reference in its entirety.--

X Foreign priority under 35 U.S.C. § 119 of SWITZERLAND application(s) 2778/95, filed October 3, 1995, is hereby claimed. The certified priority paper(s):

X was/were filed in the parent case;

___ is/are enclosed herewith;

___ will be submitted at a later date.

X The power of attorney in the prior application is to Gary M. Nath, Registration No. 26,965, Customer No. 20529.

X The power appears in the original papers in the prior application.

X Address all future communications to:

Gary M. Nath
NATH & ASSOCIATES PLLC
1030 15th Street, N.W.- 6th Floor
Washington, D.C. 20005

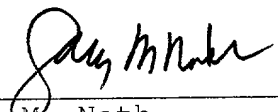
— If extensions of time under 37 CFR § 1.136 other than those provided herewith are required to prevent abandonment of the parent application, then such extensions of time are hereby petitioned to allow copendency of the parent and the present continuing application. The Commissioner is hereby authorized to charge fee deficiency under 37 CFR § 1.17, or credit any overpayment, to Deposit Account No. 14-0112.

X The Commissioner is hereby authorized to charge any fee deficiency under 37 CFR §§ 1.16 and 1.17 which may be required, or credit any overpayment, to Deposit Account No. 14-0112. A duplicate copy of this Form is enclosed.

Respectfully submitted,

NATH & ASSOCIATES PLLC

Date: February 28, 2002



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Registration No. 26,965
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BOX PCT

FILING RECEIPT

Attorney Docket No. 23533

Date: March 30, 1998 Attorney: GMN

Inventor: GANZ, Martin and SILLMANN, Paul

International Application No: PCT/CH96/00344

Serial No. NOT YET ASSIGNED

International Filing Date: 02 October 1996 (02.10.96)

Filed: March 30, 1998

Title: **CLOSING UNIT FOR AN INJECTION MOULDING MACHINE**



DOCUMENTS BEING FILED:

THE PTO STAMP HEREON ACKNOWLEDGES RECEIPT OF:

- (1) Transmittal Letter
- (2) Transmittal Letter To U.S. Designated/Elected Office (DO/EO/US) Concerning Filing under 35 U.S.C. 371
- (3) 1 Sheet Fee Calculation
- (4) International Publication No: WO 97/12742
International Publication Date: 10 April 1997 (10.04.97)
which consists of 12 pages including:
 - 7 pages Textual Specification
 - 2 pages of 11 claims
 - 1 Cover sheet containing the Abstract
 - 2 Sheet of Drawings
- (5) Preliminary Amendment
- (6) Unexecuted Declaration
- (7) PCT/RO/101 Request
- (8) PCT/IPEA/416 Notification of Transmittal of International Preliminary Examination Report
- (9) PCT/IPEA/409 International Preliminary Examination Report
- (10) PCT/ISA/210 International Search Report
- (11) English Translation of German Application
- (12) Check No. 9458 \$ 930.00 for Government Filing Fee

NATH & ASSOCIATES

1835 K Street, N.W., Suite 750

Washington, D.C. 20006-1203

(202)-775-8383

FILE CHECK

Prepared by:

Approved by:

Copy reviewed:

Filed by:

GMN/ph

A handwritten signature in dark ink, appearing to be "S. Nath" or similar, written over a horizontal line.